

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
H0001589-D1 (13358.6USD1)			J. DAVIE	2828

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV322886695US  
Date of Deposit: September 24, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Teresa Anderson  
Name: Teresa Anderson

03940 U.S. PTO  
10/669220  
09/24/03

DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b) of Serial No. 10/037,013, filed on December 31, 2001 entitled OPTOELECTRONIC DEVICES AND METHODS OF PRODUCTION by the following inventor(s):

Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

1. ☒ Enclosed is a copy of the prior application; including the specification, claims, drawings, a signed oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 19 pages of specification, 23 claims, 1 page of abstract, 6 sheets of formal drawings, and 5 pages of oath or declaration.

- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
2. ☒ Cancel original claims 1-12 of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
3. ☒ The filing fee is calculated below:

CLAIMS AS FILED

NUMBER FILED	NUMBER EXTRA		RATE	FEE
<b>TOTAL CLAIMS:</b>				
11 -20	0	x	\$18.00	0.00
<b>INDEPENDENT CLAIMS</b>				
1 -3	0	x	\$84.00	0.00
			<b>BASIC FILING FEE:</b>	<b>\$750.00</b>
			<b>TOTAL FILING FEE:</b>	<b>750.00</b>

- ☐ Small entity status is claimed pursuant to 37 CFR 1.27.
4. ☒ Payment of fees:  
☐ Attached is a check in the amount of  
☒ Please charge Deposit Account No. 01-1125.
5. ☐ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
6. ☒ Amend the specification by inserting before the first line the sentence:  

"This application is a divisional of application Serial No. 10/037,013, filed December 31, 2001, which application(s) are incorporated herein by reference."
7. ☐ A set of formal drawings ( sheets) is enclosed.
8. ☐ Priority of application Serial No. , filed on in , is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior application Serial No. , filed .
9. ☒ The prior application is assigned of record to Honeywell International Inc. located at Morristown, New Jersey.
10. ☒ The Power of Attorney in the prior application is to:

Andrew Abeyta  
Honeywell International Inc.  
101 Columbia Road  
P.O. Box 2245  
Morristown, New Jersey 07962

11. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☐ Fee for excess claims is attached.
12. ☐ A petition and fee has been filed to extend the term in the prior application until . A copy of the petition for extension of time in the prior application is attached.
13. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14. ☐ A Nonpublication Request under 37 CFR 1.213(a) is enclosed.
15. ☒ Also Enclosed: Information Disclosure Statement and Form 1449 and Fee Transmittal
16. ☒ Address all future communications to the Attention of Andrew Abeyta, Honeywell International Inc., 101 Columbia Road, P.O. Box 2245, Morristown, New Jersey 07962 (may only be completed by attorney or agent of record).
17. ☒ A return postcard is enclosed.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

Date:

*September 24, 2003*

*Anna M. Nelson*  
Anna M. Nelson  
Reg. No. 48,935  
ANelson:PSTkaw

This form is a Replica of PTO/SB/17 (11/01)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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09/24/03

423 U.S. PTO

**FEE TRANSMITTAL  
For FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** \$750**COMPLETE IF KNOWN**

Application No.	NEW FILING
Filing Date	September 24, 2003
First Named Inventor	Liu
Examiner Name	Not assigned
Group Art Unit	Not assigned
Attorney Docket No.	H0001589-D1(13358.6USD1)

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other

☒ Deposit Account

Deposit Account Number: 01-1125

Deposit Account Name: Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
101 740	201 370	Utility Filing Fee	750
106 330	206 165	Design Filing Fee	
107 510	207 255	Plant Filing Fee	
108 740	208 370	Reissue Filing Fee	
114 100	214 80	Provisional Filing Fee	
<b>SUBTOTAL (1)</b>			<b>\$750</b>

**2. EXTRA CLAIM FEES**

Total Claims: 11

Independent: 11

Dependent: 0

Extra Claims: 0

Fee from below: 0

Fee Paid: 0

Large Entity	Small Entity	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 54	202 42	Independent claims in excess of 9	
104 280	204 140	Multiple dependent claim	
109 84	209 42	Reissue independent claim over original patent	
110 18	210 9	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>\$0</b>

\* number previously paid, if greater, see above for Reissue

**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
130 130	130 130	Non-English specification	
147 2,520	147 2,250	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
110 400	210 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 65	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(f)	
120 160	120 160	Submission of Information Disclosure Statement	
681 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR 1.129(e))	
149 740	249 370	For each additional invention to be examined (37 CFR 1.128(b))	
179 740	279 370	Requests for Continued Examination (RCE)	
160 800	160 800	Request for expedited examination of a design application	
166 300	166 300	Publication fee	
Other fee (specify):			
<b>SUBTOTAL (3)</b>			<b>\$750</b>

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Name (Print / Type) Andrew A. Abeysa

Signature

Registration No. (Attorney/Agent)

39,582

**Complete (if applicable)**

Telephone 602-313-3346

Date Sep 24, 2003

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.